



STATEMENT OF PERSONAL HISTORY

Name and Address of Applicant (Firm Name, Street, City, State, Zip Code and E-mail)

Firm Name: _____ Amount Applied For: _____
Address: _____ Percentage of Ownership: _____
Email: _____ Social Security No. _____
Home Phone (include A/C): _____ Business Phone (include A/C): _____

Personal Statement of: (State name in full, if no middle name, state "NMN", or if initial only, indicate initial.) List all former names used, and dates each name was used. Use separate sheet if necessary.

Current Full Name: _____ Dates Used: _____
Former Full Name: _____ Dates Used: _____

Date of Birth (mm/dd/yyyy): _____ Place of Birth (City & State): _____

U.S. Citizen? ☐ Yes ☐ No INITIALS: _____

If no, are you a Lawful Permanent resident alien? ☐ Yes ☐ No

In no, country of citizenship: _____ Alien Registration Number: _____

Present Residence Address:

From: _____ To: _____
Address: _____

Most Recent Prior Address (omit if over 10-years ago):

From: _____ To: _____
Address: _____

SSBCI CAPITAL PROGRAM POLICY

Each financial institution lender must also obtain an assurance from the borrower affirming that no principal of the borrowing entity has been convicted of a sex offense against a minor (as such terms are defined in Section 111 of the Sex Offender Registration and Notification Act in 34 U.S.C. 20911(b)).

For purposes of this representation and warranty, "principal" is defined as (1) if a sole proprietorship, the proprietor, (2) if a partnership, each managing partner and each partner who is a natural person and holds twenty percent (20%) or more ownership interest in the partnership, and (3) if a corporation, limited liability company, association or other business entity, each director, each of the five(5) most highly compensated executives or officers of the entity, and each natural person who is a direct or indirect holder of twenty percent (20%) or more of the stock or equivalent ownership interests of each business entity.

Have you been convicted of a sex offense against a minor (as such terms are defined in section 111 of the Sex Offender Registration and Notification Act in 34 U.S.C. 20911(b))?

☐ YES ☐ NO INITIALS: _____

IF YOU ANSWER "YES", YOU MUST FURNISH DETAILS ON A SEPARATE SHEET. INCLUDE DATES, LOCATION, FINES, SENTENCES, MISDEMEANOR OR FELONY, DATES OF PAROLE/PROBATION, UNPAID FINES OR PENALTIES, NAME(S) UNDER WHICH CHARGED, AND ANY OTHER PERTINENT INFORMATION.

I authorize Turtle Mountain State Bank (TMSB) to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for the SSBCI Capital Program.

Signature

Title

Date